

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Anthony R.M. COATES et al

Conf. No.: 9237

Appln. No.: 09/842,637

Group Art Unit: 1651

Filed: April 27, 2001

Examiner: Unknown

For: SCREENING PROCESS FOR ANTIBACTERIAL AGENTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

TN: APPLICATION BRANCH

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Part of Nonprovisional Application", dated June 21, 2001, for which a Petition for One-month Extension of Time, along with payment of the appropriate fee, is attached, making reply due on or before September 21, 2001, Applicants submit herewith the required Declaration properly executed by the inventors.

A check for the surcharge of $$65.00^{1/}$ is attached.

The Assistant Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 30,764

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3202

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: September 19, 2001

 $^{^{1\}prime}$ Applicants are entitled to small entity status as evidenced by the Small Entity Status Declaration attached hereto.

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REQUEST FOR REFUND

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants hereby respectfully requests a refund in the amount of \$355.00, for the above-identified application.

Specifically, on April 27, 2001, Applicant filed a Letter to Commissioner submitting a new application, along with payment of the statutory filing fee for large entity status (as evidenced by the date-stamped copy of the mailroom receipt and reconciled check no. 172828 attached hereto).

Applicant files simultaneously herewith an executed Small Entity Status Declaration in order to clearly establish Applicants' claim to small entity status.

Since the statutory filing fee paid was for large entity status, and Applicants are now considered to be small entity status, Applicant respectfully requests that the refund (in the

REQUEST FOR REFUND U.S. Appln. No. 09/842,637

amount of \$355.00) be restored to Deposit Account No. 19-4880. A duplicate copy of this paper is attached for such purpose.

Respectfully submitted,

Gordon Kit

Registration No. 30,764

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United States Patent and Trademark Office

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023 www.uspito.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

'ATTORNEY DOCKET NUMBER

09/842,637

04/27/2001

Anthony Robert Milnes Coates

Q-64007

CONFIRMATION NO. 9237

OC000000006209981

OFFICES SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

2100 PENNSYLVANIA AVENUE, N.W.

WASHINGTON, DC 20037-3213

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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